Algorithms \& Models of Computation
CS/ECE 374, Fall 2020
14.3

Maximum Weighted Independent Set in Trees

## Maximum Weight Independent Set Problem

Input Graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ and weights $\boldsymbol{w}(\boldsymbol{v}) \geq \mathbf{0}$ for each $\boldsymbol{v} \in \boldsymbol{V}$
Goal Find maximum weight independent set in $\boldsymbol{G}$


Maximum weight independent set in above graph: $\{B, D\}$

## Maximum Weight Independent Set Problem

Input Graph $\boldsymbol{G}=(\boldsymbol{V}, \boldsymbol{E})$ and weights $\boldsymbol{w}(\boldsymbol{v}) \geq \mathbf{0}$ for each $\boldsymbol{v} \in \boldsymbol{V}$
Goal Find maximum weight independent set in $\boldsymbol{G}$


Maximum weight independent set in above graph: $\{\boldsymbol{B}, \boldsymbol{D}\}$

## Maximum Weight Independent Set in a Tree

Input Tree $\boldsymbol{T}=(\boldsymbol{V}, \boldsymbol{E})$ and weights $\boldsymbol{w}(\boldsymbol{v}) \geq \mathbf{0}$ for each $\boldsymbol{v} \in \boldsymbol{V}$
Goal Find maximum weight independent set in $\boldsymbol{T}$


Maximum weight independent set in above tree: ??

## Towards a Recursive Solution

For an arbitrary graph $\boldsymbol{G}$ :
(1) Number vertices as $\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}$
(2) Find recursively optimum solutions without $\boldsymbol{v}_{\boldsymbol{n}}$ (recurse on $\boldsymbol{G}-\boldsymbol{v}_{\boldsymbol{n}}$ ) and with $\boldsymbol{v}_{\boldsymbol{n}}$ (recurse on $\boldsymbol{G}-\boldsymbol{v}_{n}-\boldsymbol{N}\left(\boldsymbol{v}_{n}\right) \&$ include $\boldsymbol{v}_{\boldsymbol{n}}$ ).
(3) Saw that if graph $\boldsymbol{G}$ is arbitrary there was no good ordering that resulted in a small number of subproblems.

What about a tree? Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ?

## Towards a Recursive Solution

For an arbitrary graph $\boldsymbol{G}$ :
(1) Number vertices as $\boldsymbol{v}_{1}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}$
(2) Find recursively optimum solutions without $\boldsymbol{v}_{\boldsymbol{n}}$ (recurse on $\boldsymbol{G}-\boldsymbol{v}_{\boldsymbol{n}}$ ) and with $\boldsymbol{v}_{\boldsymbol{n}}$ (recurse on $\boldsymbol{G}-\boldsymbol{v}_{\boldsymbol{n}}-\boldsymbol{N}\left(\boldsymbol{v}_{\boldsymbol{n}}\right)$ \& include $\boldsymbol{v}_{\boldsymbol{n}}$ ).
(0) Saw that if graph $\boldsymbol{G}$ is arbitrary there was no good ordering that resulted in a small number of subproblems.

What about a tree? Natural candidate for $v_{n}$ is root $r$ of $T$ ?

## Towards a Recursive Solution

For an arbitrary graph $\boldsymbol{G}$ :
(1) Number vertices as $\boldsymbol{v}_{1}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}$
(2) Find recursively optimum solutions without $\boldsymbol{v}_{\boldsymbol{n}}$ (recurse on $\boldsymbol{G}-\boldsymbol{v}_{\boldsymbol{n}}$ ) and with $\boldsymbol{v}_{\boldsymbol{n}}$ (recurse on $\boldsymbol{G}-\boldsymbol{v}_{\boldsymbol{n}}-\boldsymbol{N}\left(\boldsymbol{v}_{\boldsymbol{n}}\right)$ \& include $\boldsymbol{v}_{\boldsymbol{n}}$ ).
(3) Saw that if graph $\boldsymbol{G}$ is arbitrary there was no good ordering that resulted in a small number of subproblems.

What about a tree? Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ?

## Towards a Recursive Solution

Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ? Let $\mathcal{O}$ be an optimum solution to the whole problem.
Case $\boldsymbol{r} \notin \mathcal{O}$ : Then $\mathcal{O}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a child of $\boldsymbol{r}$.
Case $r \in \mathcal{O}$ : None of the children of $r$ can be in $\mathcal{O} \cdot \mathcal{O}-\{r\}$ contains an optimum
solution for each subtree of $T$ hanging at a grandchild of $r$.

Subproblems? Subtrees of $\boldsymbol{T}$ rooted at nodes in $\boldsymbol{T}$

How many of them? $\boldsymbol{O}(\boldsymbol{n})$

## Towards a Recursive Solution

Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ? Let $\mathcal{O}$ be an optimum solution to the whole problem.
Case $\boldsymbol{r} \notin \mathcal{O}$ : Then $\mathcal{O}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a child of $r$.
Case $\boldsymbol{r} \in \mathcal{O}$ : None of the children of $\boldsymbol{r}$ can be in $\mathcal{O} . \mathcal{O}-\{\boldsymbol{r}\}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a grandchild of $\boldsymbol{r}$.

Subproblems? Subtrees of $\boldsymbol{T}$ rooted at nodes in $\boldsymbol{T}$.
How many of them? $O(n)$

## Towards a Recursive Solution

Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ? Let $\mathcal{O}$ be an optimum solution to the whole problem.
Case $\boldsymbol{r} \notin \mathcal{O}$ : Then $\mathcal{O}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a child of $\boldsymbol{r}$.
Case $\boldsymbol{r} \in \mathcal{O}$ : None of the children of $\boldsymbol{r}$ can be in $\mathcal{O} . \mathcal{O}-\{\boldsymbol{r}\}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a grandchild of $\boldsymbol{r}$.

Subproblems? Subtrees of $\boldsymbol{T}$ rooted at nodes in $\boldsymbol{T}$.

How many of them? $\boldsymbol{O}(\boldsymbol{n})$

## Towards a Recursive Solution

Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ? Let $\mathcal{O}$ be an optimum solution to the whole problem.
Case $\boldsymbol{r} \notin \mathcal{O}$ : Then $\mathcal{O}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a child of $\boldsymbol{r}$.
Case $\boldsymbol{r} \in \mathcal{O}$ : None of the children of $\boldsymbol{r}$ can be in $\mathcal{O} . \mathcal{O}-\{\boldsymbol{r}\}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a grandchild of $\boldsymbol{r}$.

Subproblems? Subtrees of $\boldsymbol{T}$ rooted at nodes in $\boldsymbol{T}$.

How many of them?

## Towards a Recursive Solution

Natural candidate for $\boldsymbol{v}_{\boldsymbol{n}}$ is root $\boldsymbol{r}$ of $\boldsymbol{T}$ ? Let $\mathcal{O}$ be an optimum solution to the whole problem.
Case $\boldsymbol{r} \notin \mathcal{O}$ : Then $\mathcal{O}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a child of $\boldsymbol{r}$.
Case $\boldsymbol{r} \in \mathcal{O}$ : None of the children of $\boldsymbol{r}$ can be in $\mathcal{O} . \mathcal{O}-\{\boldsymbol{r}\}$ contains an optimum solution for each subtree of $\boldsymbol{T}$ hanging at a grandchild of $\boldsymbol{r}$.

Subproblems? Subtrees of $\boldsymbol{T}$ rooted at nodes in $\boldsymbol{T}$.

How many of them? $\boldsymbol{O}(\boldsymbol{n})$

Example


## A Recursive Solution

$\boldsymbol{T}(\boldsymbol{u})$ : subtree of $\boldsymbol{T}$ hanging at node $\boldsymbol{u}$ OPT(u): max weighted independent set value in $\boldsymbol{T}(\boldsymbol{u})$

$$
\boldsymbol{O P T}(\boldsymbol{u})=\max \left\{\begin{array}{l}
\sum_{v} \text { child of } u \text { OPT }(v), \\
w(u)+\sum_{v} \text { grandchild of } u \text { OPT }(v)
\end{array}\right.
$$

## A Recursive Solution

$\boldsymbol{T}(\boldsymbol{u})$ : subtree of $\boldsymbol{T}$ hanging at node $\boldsymbol{u}$ OPT(u): max weighted independent set value in $\boldsymbol{T}(\boldsymbol{u})$

$$
\boldsymbol{O P T}(\boldsymbol{u})=\max \left\{\begin{array}{l}
\sum_{\boldsymbol{v} \text { child of } u} \boldsymbol{O P T}(\boldsymbol{v}), \\
\boldsymbol{w}(\boldsymbol{u})+\sum_{\boldsymbol{v} \text { grandchild of } u} \boldsymbol{O P T}(\boldsymbol{v})
\end{array}\right.
$$

## Iterative Algorithm

(1) Compute $\boldsymbol{O P T}(\boldsymbol{u})$ bottom up. To evaluate $\boldsymbol{O P T}(\boldsymbol{u})$ need to have computed values of all children and grandchildren of $\boldsymbol{u}$
(2) What is an ordering of nodes of a tree $\boldsymbol{T}$ to achieve above?

Post-order traversal of
a tree.

## Iterative Algorithm

(1) Compute $\operatorname{OPT}(\boldsymbol{u})$ bottom up. To evaluate $\boldsymbol{O P T}(\boldsymbol{u})$ need to have computed values of all children and grandchildren of $\boldsymbol{u}$
(2) What is an ordering of nodes of a tree $\boldsymbol{T}$ to achieve above? Post-order traversal of a tree.

## Iterative Algorithm

MIS-Tree ( $\boldsymbol{T}$ ) :
Let $\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}$ be a post-order traversal of nodes of T for $\boldsymbol{i}=1$ to $\boldsymbol{n}$ do

$$
\begin{aligned}
& \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]=\max \binom{\sum_{\boldsymbol{v}_{j} \text { child of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{j}\right],}{\boldsymbol{w}\left(\boldsymbol{v}_{\boldsymbol{i}}\right)+\sum_{\boldsymbol{v}_{j} \text { grandchild of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]} \\
& \text { return } \left.\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{n}}\right] \text { (* Note: } \boldsymbol{v}_{\boldsymbol{n}} \text { is the root of } \boldsymbol{T} *\right)
\end{aligned}
$$

## Space

$O(n)$ to store the value at each node of $T$
(1) Naive bound: $O\left(n^{2}\right)$ since each $M\left[v_{i}\right]$ evaluation may take $O(n)$ time and there are $\boldsymbol{n}$ evaluations.
(2) Better bound: $O(\boldsymbol{n})$. A value $M\left[v_{j}\right]$ is accessed only by its parent and grand parent.

## Iterative Algorithm

MIS-Tree ( $\boldsymbol{T}$ ) :
Let $\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}$ be a post-order traversal of nodes of T for $\boldsymbol{i}=1$ to $\boldsymbol{n}$ do

$$
\begin{aligned}
& \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]=\max \binom{\sum_{\boldsymbol{v}_{j} \text { child of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{j}\right],}{\boldsymbol{w}\left(\boldsymbol{v}_{\boldsymbol{i}}\right)+\sum_{\boldsymbol{v}_{j} \text { grandchild of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]} \\
& \text { return } \left.\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{n}}\right] \text { (* Note: } \boldsymbol{v}_{\boldsymbol{n}} \text { is the root of } \boldsymbol{T} *\right)
\end{aligned}
$$

Space: $O(n)$ to store the value at each node of $T$
(1) Naive bound: $\boldsymbol{O}\left(\boldsymbol{n}^{2}\right)$ since each $\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]$ evaluation may take $\boldsymbol{O}(\boldsymbol{n})$ time and there are $\boldsymbol{n}$ evaluations.
(2) Better bound: $O(n)$. A value $M\left[v_{j}\right]$ is accessed only by its parent and grand parent.

## Iterative Algorithm

## MIS-Tree ( $\boldsymbol{T}$ ) :

Let $\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}$ be a post-order traversal of nodes of T for $\boldsymbol{i}=1$ to $\boldsymbol{n}$ do

$$
\begin{aligned}
& \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]=\max \binom{\sum_{\boldsymbol{v}_{j} \text { child of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{j}\right],}{\boldsymbol{w}\left(\boldsymbol{v}_{\boldsymbol{i}}\right)+\sum_{\boldsymbol{v}_{j} \text { grandchild of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]} \\
& \text { return } \left.\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{n}}\right] \text { (* Note: } \boldsymbol{v}_{\boldsymbol{n}} \text { is the root of } \boldsymbol{T} *\right)
\end{aligned}
$$

Space: $\boldsymbol{O}(\boldsymbol{n})$ to store the value at each node of $\boldsymbol{T}$ Running time:
(1) Naive bound: $O\left(n^{2}\right)$ since each $M\left[v_{i}\right]$ evaluation may take $O(n)$ time and there are $\boldsymbol{n}$ evaluations.
(2) Better bound: $\boldsymbol{O}(\boldsymbol{n})$. A value $M\left[v_{j}\right]$ is accessed only by its parent and grand parent.

## Iterative Algorithm

$$
\begin{aligned}
& \text { MIS-Tree }(\boldsymbol{T}): \\
& \text { Let } \boldsymbol{v}_{1}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}} \text { be a post-order traversal of nodes of } \mathrm{T} \\
& \text { for } \boldsymbol{i}=\mathbf{1} \text { to } \boldsymbol{n} \text { do } \\
& \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]=\max \binom{\sum_{\boldsymbol{v}_{j} \text { child of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{j}\right],}{\boldsymbol{w}\left(\boldsymbol{v}_{\boldsymbol{i}}\right)+\sum_{\boldsymbol{v}_{j} \text { grandchild of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]} \\
& \text { return } \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{n}}\right]\left(* \text { Note: } \boldsymbol{v}_{\boldsymbol{n}} \text { is the root of } \boldsymbol{T} *\right)
\end{aligned}
$$

Space: $\boldsymbol{O}(\boldsymbol{n})$ to store the value at each node of $\boldsymbol{T}$ Running time:
(1) Naive bound: $\boldsymbol{O}\left(\boldsymbol{n}^{2}\right)$ since each $\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]$ evaluation may take $\boldsymbol{O}(\boldsymbol{n})$ time and there are $\boldsymbol{n}$ evaluations.
(3) Better bound: $O(n)$. A value $M\left[v_{j}\right]$ is accessed only by its parent and grand parent.

## Iterative Algorithm

```
MIS-Tree ( \(\boldsymbol{T}\) ) :
    Let \(\boldsymbol{v}_{\mathbf{1}}, \boldsymbol{v}_{2}, \ldots, \boldsymbol{v}_{\boldsymbol{n}}\) be a post-order traversal of nodes of T
    for \(\boldsymbol{i}=1\) to \(\boldsymbol{n}\) do
        \(\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]=\max \binom{\sum_{\boldsymbol{v}_{\boldsymbol{j}} \text { child of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]}{,\boldsymbol{w}\left(\boldsymbol{v}_{\boldsymbol{i}}\right)+\sum_{\boldsymbol{v}_{\boldsymbol{j}} \text { grandchild of } \boldsymbol{v}_{\boldsymbol{i}}} \boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]}\)
return \(M\left[\boldsymbol{v}_{\boldsymbol{n}}\right]\) (* Note: \(\boldsymbol{v}_{\boldsymbol{n}}\) is the root of \(\boldsymbol{T} *\) )
```

Space: $\boldsymbol{O}(\boldsymbol{n})$ to store the value at each node of $\boldsymbol{T}$ Running time:
(1) Naive bound: $\boldsymbol{O}\left(\boldsymbol{n}^{2}\right)$ since each $\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{i}}\right]$ evaluation may take $\boldsymbol{O}(\boldsymbol{n})$ time and there are $\boldsymbol{n}$ evaluations.
(2) Better bound: $\boldsymbol{O}(\boldsymbol{n})$. A value $\boldsymbol{M}\left[\boldsymbol{v}_{\boldsymbol{j}}\right]$ is accessed only by its parent and grand parent.

Example


## THE END

(for now)

